

POLICIES &  
PROCEDURES

EFFECTIVE  
IMPLEMENTATION  
AND ASSURANCE

Protiviti Perspective provided by Brandon W., Houston



## Why do you need a Policy & Procedure? What is the trigger?

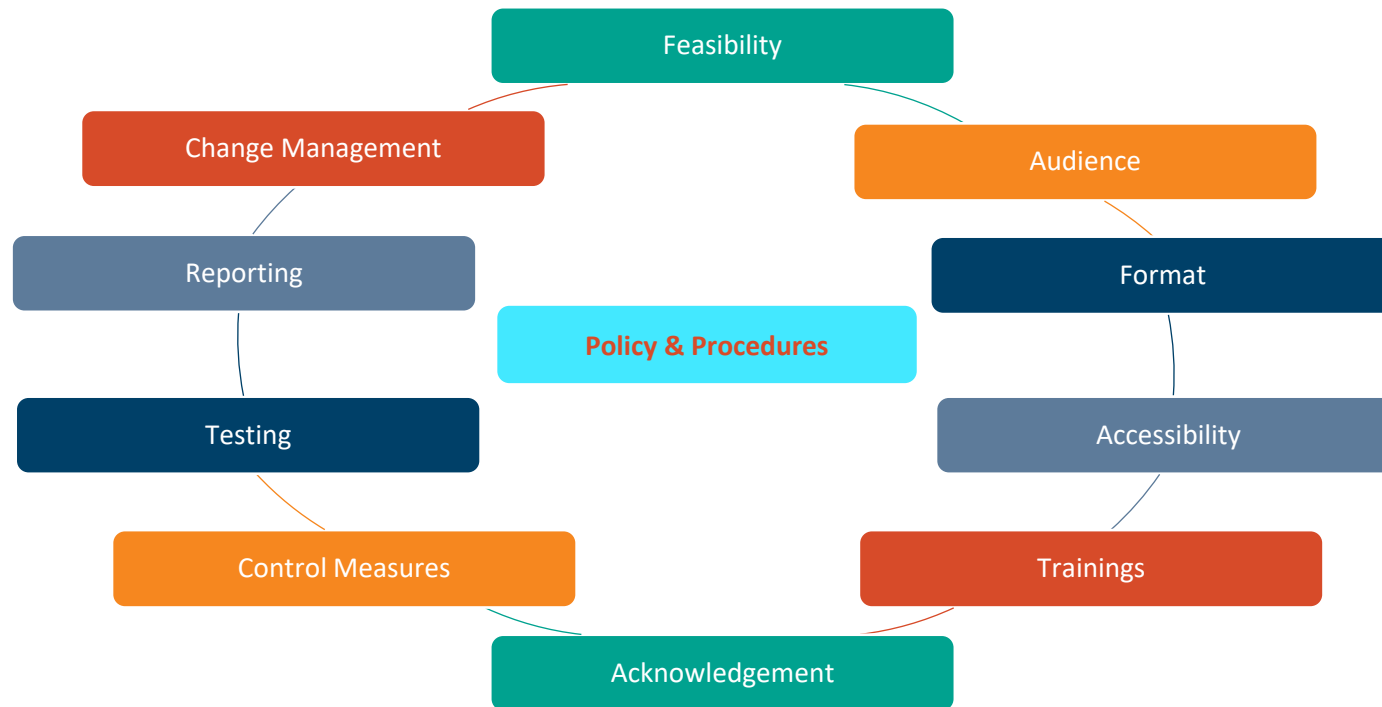
When there is no Policy & Procedures designed or implemented in an organisation then the below happens:

- Individual approaches for every activity in an organisation
- Risks and complications are unknown
- Incorrect or inappropriate activity is performed if the end objective of the activity being performed is unknown
- Nobody owns the activity or the risk out of it
- What else can be looked into while performing the activity is not exercised
- Complications in case of change in guard or if the person exits
- Irregularities resulting into huge risk to the organisation

# ENABLER

Policy & Procedures are designed and implemented to enable people or employees to operate in a guided environment keeping the business context at a higher importance and sharing responsibility or ownership of the activities performed in the interest of the organisation.

## Lifecycle



## What will be the outcome?

Existence of Policy & Procedures help the organisation by:

- Standard approach across
- Enable people or employees to operate in a guided environment
- Ensuring the business context is met
- Responsibility or ownership of the activities performed in the interest of the organisation
- Risks are identified and mitigated periodically
- Effective change management





# MOVING FORWARD **TOGETHER**

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